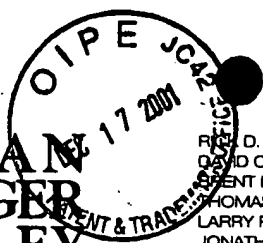


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PATENT APPLICATION
Docket No. 13676.152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Roger W. Phillips et al.

Serial No. 09/489,250

Filed: January 21, 2000

For: OPTICALLY VARIABLE SECURITY DEVICES

Examiner: Darren Schuberg

RECEIVED
DEC 21 2001
Art Unit
2872

TRANSMITTAL FOR AMENDMENT AND RESPONSE

Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is an amendment for entry in the above-identified application.

— Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.

x A Certificate of Express Mail Under 37 C.F.R. § 1.10 is enclosed.

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x To render the transmitted Amendment and Response timely filed enclosed are the following:

 x Petition for a One-Month Extension of Time; and

 x \$110.00 for the one-month extension of time fee.

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

37 CFR 1.97 (b)

 The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97 (c)

 x The Supplemental Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a final action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

 a certification as specified in 37 CFR 1.97(e);

or

 x The fee set forth in 37 CFR 1.17(p) for submission of a Supplemental Information Disclosure Statement under 37 CFR 1.97(c);

 x Form PTO-1449 listing five (5) references submitted for consideration;

 x Copy of five (5) references listed on the Form PTO-1449.

BEST AVAILABLE COPY

x Credit Card Payment Form PTO-2038 authorizing payment of \$290.00 for:

 x \$110.00 for One-Month Extension of Time;

 x \$180.00 fee for Supplemental Information Disclosure Statement;

 The fee has been calculated as shown below.

				Small Entity		Large Entity	
	Claims Remaining After	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total	67	Minus 78	= 0	x 9 =	\$	x 18 =	\$ 0
Indep.	9	Minus 9	= 0	x 40 =	\$	x 80 =	\$ 0
1 st Presentation of Multiple Dependent Claims				+ 135 =	\$	+ 270 =	\$
Total Fee					\$	Total Fee	\$ 0

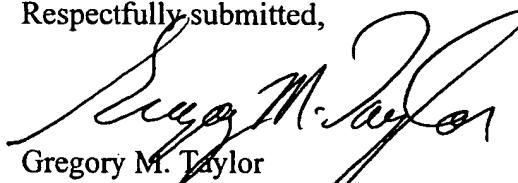
 x The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178. A duplicate copy of this letter is attached.

 x Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

 x Any patent application processing fees under 37 CFR 1.17.

Dated this 17th day of December 2001.

Respectfully submitted,


Gregory M. Taylor
Attorney for Applicants
Registration No. 34,263



022913

PATENT TRADEMARK OFFICE



12-21-01

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4/

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PATENT APPLICATION
Docket No. 13676.152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Roger W. Phillips et al.

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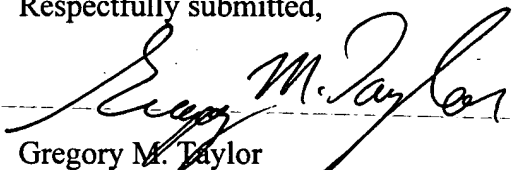
Examiner: Darren Schuberg

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Art Unit 2872
TECHNOLOGY CENTER 2800CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the 17th day of December 2001.

- Amendment and Response
- Petition for One-Month Extension of Time
- Supplemental Information Disclosure Statement
- PTO Form-1449 listing five (5) references
- Copies of five (5) references
- Credit Card Payment Form PTO-2038 for \$290.00
- Transmittal Letter
- Postcard

Respectfully submitted,


Gregory M. Taylor
Attorney for Applicants
Registration No. 34,263

022913

PATENT TRADEMARK OFFICE